

**COMPUTER MATCHING AGREEMENT
BETWEEN THE
SOCIAL SECURITY ADMINISTRATION
AND THE
OFFICE OF PERSONNEL MANAGEMENT**

Match #1071

I. Purpose

This computer matching agreement between the Social Security Administration (SSA) and the Office of Personnel Management (OPM) sets forth the terms, conditions, and safeguards under which SSA will disclose benefit information regarding individuals who receive benefits from SSA under Title II of the Social Security Act (Act). OPM's Retirement Services will use this information to meet its legal obligation to offset its payments to disability annuitants, child survivor annuitants, and spousal survivor annuitants who receive benefits from OPM. This matching activity will enable OPM to determine eligibility for these benefits and compute the benefits it provides to these individuals at the correct rate. Appendices A, B, and C of this agreement contain specific information on the matching programs that OPM will conduct.

II. Legal Authority

This agreement is executed in compliance with the Privacy Act of 1974 (5 U.S.C. § 552a), as amended by the Computer Matching and Privacy Protection Act of 1988, and the regulations and guidance promulgated thereunder.

The legal authority for this agreement is 5 U.S.C. §§ 8442(f), 8443(a), 8452(a)(2)(A), and 8461(h)(1). The legal authority for SSA's disclosures under this agreement are section 1106 of the Act (42 U.S.C. § 1306), the Privacy Act (5 U.S.C. § 552a(b)), and section 7213(a) of the Intelligence Reform and Terrorism Prevention Act of 2004 (42 U.S.C. § 405 note).

III. Responsibilities of the Parties

A. SSA

1. SSA will verify Social Security numbers (SSN) provided by OPM using SSA's Master File of SSN Holders and SSN Applications (Enumeration System) system of records, 60-0058.
2. When the SSN provided by OPM matches SSA's Enumeration System, SSA will match the record against SSA's Master Beneficiary Record (MBR) system of records, 60-0090.

3. SSA will provide OPM with individuals' beneficiary status under Title II of the Act and associated benefit data from the MBR via the State Verification and Exchange System (SVES).
4. If the SSN provided by OPM does not match SSA's Enumeration System, SSA, in accordance with the appropriate technical code found in the SSA SVES manual, will provide OPM with the code specifying why there was no match.

B. OPM

1. Daily, OPM will provide SSA an extract from its Annuity Master File from pending claims snapshot records via the File Transfer Management System. The extracted file will contain the following identifying information concerning the disability annuitant, child survivor annuitant, and survivor annuitant from whom OPM is legally required to offset benefits by a percentage of benefits payable under Title II of the Act: full name, SSN, date of birth (DOB), and necessary SVES indicator, as required to extract Title II data from SSA's MBR via SVES. The SVES indicator specifies the type of request, enabling the SVES application to know where to extract the requested data, i.e., from the MBR.
2. Up to twice a month, OPM will provide SSA with the following information identifying all disability annuitants in pay status for whom OPM has no records of receiving Title II benefits: full name, SSN, DOB, and necessary SVES indicator, as required to extract Title II data from SSA's MBR via SVES.
3. OPM will use the match results to identify the following:
 - a. Federal Employees' Retirement Systems (FERS) disability annuitants who are receiving Social Security disability insurance benefits (Appendix A).
 - b. FERS child survivor annuitants who are receiving Social Security child's insurance benefits (Appendix B); and
 - c. FERS survivor annuitants who may be eligible to receive Supplementary Annuity benefits (Appendix C).
4. OPM acknowledges that SSA's positive response on the name, DOB, and SSN only establishes that the submitted information matches the information contained in SSA's records. SSA's verification does not authenticate the individual's identity or conclusively prove that the individual submitting the information is who the individual claims to be.

IV. Accuracy Assessments

OPM estimated, based on its sample review, that OPM's Annuity Master File is 95 percent accurate. OPM notifies the annuitant of the benefit amounts SSA provides and which OPM will use to reduce FERS benefits.

SSA does not have an accuracy assessment specific to the data elements listed in this agreement. However, SSA conducts assessments of the data in its Systems of Records as part of its ongoing financial integrity and internal control reviews in accordance with the guidelines established in Office of Management and Budget (OMB) Management Procedures Memorandum No 2016-03 – Additional Guidance for DATA Act Implementation: Implementing Data-Centric Approach for Reporting Federal Spending Information; OMB M-17-04 – Additional Guidance for DATA Act Implementation: Further Requirements for Reporting and Assuring Data Reliability; and OMB M-18-16 – Appendix A to OMB Circular No. A-123, Management of Reporting and Data Integrity Risk. Based on these reviews certified by the agency’s Senior Accountable Official (agency Chief Financial Officer) and by the unqualified audit opinion rendered by the agency’s financial statement auditor, the agency has a reasonable assurance as to the accuracy and reliability of these data.

V. Procedures for Individualized Notices

A. Applicants

Both SSA and OPM will notify all applicants for benefits in their respective programs that both agencies will conduct matching programs. As concerns the matching activities described in this agreement, SSA's notice consists of appropriate language printed on its application forms for Title II benefits or a separate handout with appropriate language when necessary. OPM’s notice consists of appropriate language printed on its application forms and forms related to requests for information concerning FERS Disability benefits.

B. Annuitants/Survivors

Both SSA and OPM will provide subsequent periodic notices of computer matching to their respective retirees, annuitants, beneficiaries, and recipients as required. SSA’s notification consists of a general notice in the *Federal Register* and periodic mailings to all beneficiaries and recipients, with a description of SSA’s matching activities as stated above. OPM’s notice consists of information included in the *Federal Register* and appropriate language printed on yearly mailings to all annuitants, survivor annuitants, and their representatives describing OPM’s matching activities.

C. Federal Register Notice

OPM, as the recipient agency, will provide Congress and the OMB with notice of this program and will publish the required matching notice in the *Federal Register* pursuant to the Privacy Act, 5 U.S.C. § 552a(e)(12).

VI. Verification Procedure and Opportunity to Contest

A. Verification

OPM will take no adverse action regarding annuitants identified through the matching process solely based on information that it obtains from the match. OPM will contact the annuitant to develop the necessary evidence to verify the match results in accordance with the requirements of the Privacy Act and applicable OMB guidelines.

The affected annuitant will have an opportunity to contest the accuracy of the information provided by SSA. OPM will consider the information SSA provided as accurate if the affected annuitant does not protest within 30 days after he or she receives notice of the proposed adverse action. OPM will advise the annuitant that failure to respond within 30 days will provide a valid basis for OPM to assume that the information SSA provided is correct.

B. Opportunity to Contest

Before taking any adverse action based on the information received from the match, OPM will provide all the annuitants for whom OPM decides such adverse action is necessary with the following information:

1. OPM has received information from SSA that indicates that an adverse action affecting the annuitant's payment is necessary.
2. The effective date of any adjustment or overpayment that may result.
3. The individual has 30 days to contest any adverse decision.
4. Unless the individual responds to contest the proposed adverse action in the required 30-day period, OPM will conclude that the information provided by SSA is correct and will make the necessary adjustment to the annuitant's payment.

VII. Procedures for Retention and Timely Destruction of Records

OPM will retain the benefit data it receives from SSA only for the period required for any processing related to the matching program and then destroy all such data by electronic purging, unless OPM is required to retain the data to meet evidentiary requirements. In case of such retention for evidentiary purposes, OPM will retire the retained data in

accordance with the applicable Federal Records Retention Schedule (44 U.S.C. § 3303a). OPM will not create permanent files or separate systems comprised solely of the data provided by SSA.

SSA may retain the records in the files OPM submits only for the period required for processing related to the matching program for no later than 30 days after the transmission of the file to OPM.

VIII. Security Procedures

SSA and OPM will comply with the Federal Information Security Management Act (FISMA), 44 U.S.C. Chapter 35, Subchapter II, as amended by the Federal Information Security Modernization Act of 2014 (Pub. L. 113-283); related OMB circulars and memoranda, such as Circular A-130, *Managing Information as a Strategic Resource* (July 28, 2016); and Memorandum M-17-12, *Preparing for and Responding to a Breach of Personally Identifiable Information* (January 3, 2017); National Institute of Standards and Technology (NIST) directives; and the Federal Acquisition Regulations, including any applicable amendments published after the effective date of this agreement. These laws, directives, and regulations include requirements for safeguarding Federal information systems and personally identifiable information (PII) used in Federal agency business processes, as well as related reporting requirements. Both agencies recognize and will implement the laws, regulations, NIST standards, and OMB directives, including those published after the effective date of this agreement.

FISMA requirements apply to all Federal contractors, organizations, or entities that possess or use Federal information, operate, use, or have access to Federal information systems on behalf of an agency. Both agencies are responsible for the oversight and compliance of their contractors and agents.

A. Incident Reporting

If either SSA or OPM experiences an incident involving the loss or breach of PII provided by SSA or OPM under the terms of this agreement, they will follow the incident reporting guidelines issued by OMB. In the event of a reportable incident under OMB guidance involving PII, the agency experiencing the incident is responsible for following its established procedures, including notification to the proper organizations (e.g., United States Computer Emergency Readiness Team and the agency's privacy office). In addition, the agency experiencing the incident (e.g., electronic or paper) will notify the other agency's Systems Security Contact named in this agreement. If OPM is unable to speak with the SSA Systems Security Contact within one hour or if for some other reason notifying the SSA Systems Security Contact is not practicable (e.g., it is outside of the normal business hours), OPM will call SSA's National Network Service Center toll-free at 1-877-697-4889. Within one hour of becoming aware of a possible incident involving OPM-provided PII, SSA will contact OPM IT Security Operations: cybersolutions@opm.gov; (844) 377-6109.

B. Breach Notification

SSA and OPM will follow PII breach notification policies and related procedures issued by OMB. If the agency that experienced the breach determines that the risk of harm requires notification to affected individuals or other remedies, that agency will carry out these remedies without cost to the other agency.

C. Administrative Safeguards

SSA and OPM will restrict access to the data matched and any data created by the match to authorized employees and officials who need it to perform their official duties in connection with the uses of the data authorized in this agreement. Furthermore, SSA and OPM will advise all personnel who have access to the data matched and any data created by the match of the confidential nature of the data, the safeguards required to protect the data, and the civil and criminal sanctions for non-compliance contained in the applicable Federal laws.

D. Physical Safeguards

SSA and OPM will store the data matched and any data created by the match in an area that is physically and technologically secure from access by unauthorized persons at all times (e.g., door locks, card keys, biometric identifiers, etc.). Only authorized personnel will transport the data matched and any data created by the match. SSA and OPM will establish appropriate safeguards for such data, as determined by a risk-based assessment of the circumstances involved.

E. Technical Safeguards

SSA and OPM will process the data matched and any data created by the match under the immediate supervision and control of authorized personnel to protect the confidentiality of the data so that unauthorized persons cannot retrieve any data by computer, remote terminal, or other means. Systems personnel must enter personal identification numbers when accessing data on the agencies' systems. SSA and OPM will strictly limit authorization to those electronic data areas necessary for the authorized analyst to perform his or her official duties.

F. Application of Policies and Procedures

SSA and OPM will adopt policies and procedures to ensure that each agency uses the information in their respective records or obtained from each other solely as provided in this agreement. SSA and OPM will comply with these guidelines and any subsequent revisions.

G. Security Assessments

NIST Special Publication 800-37 Revision 2, Risk Management Framework for Information Systems and Organizations: A System Life Cycle Approach for Security and Privacy as revised, encourages agencies to accept each other's security assessments to reuse information system resources and/or accept each other's assessed security posture to share information. NIST 800-37 further encourages that this type of reciprocity is best achieved when agencies are transparent and make available sufficient evidence regarding the security state of an information system so that an authorizing official from another organization can use that evidence to make credible, risk-based decisions regarding the operation and use of that system or the information it processes, stores, or transmits. Consistent with that guidance, the parties agree to make available to each other upon request system security evidence to make risk-based decisions. Either party may make requests for this information at any time throughout the duration or any extension of this agreement.

IX. Data Usage, Duplication, and Redisclosure Restrictions

SSA and OPM will adhere to the following limitations on the use, duplication, and disclosure of the electronic files and data provided by the other agency under this agreement:

- A.** The data that SSA and OPM provide will remain the property of the providing agency. SSA and OPM will destroy the data (except as provided in Article VII) after completing the relevant matching, but not more than 60 days after receipt of the data.
- B.** SSA and OPM will use and access the data only for the purposes described in this agreement.
- C.** SSA and OPM will not use the data to extract information concerning the individuals therein for any purpose not specified in this agreement; and
- D.** SSA and OPM will not duplicate or disseminate the data, within or outside their respective agencies, without the written permission of the other agency, except as necessary for backup to ongoing operations of the matching program and for the purpose of disaster recovery. SSA and OPM will not give such permission unless the law requires disclosure, or the disclosure is essential to the matching program. For such permission, the agency requesting permission must specify in writing what data they are requesting be duplicated or disseminated and to whom, and the reasons that justify such duplication or dissemination.

X. Comptroller General Access

The Government Accountability Office (Comptroller General) may have access to all SSA and OPM data it deems necessary to monitor or verify compliance with this agreement.

XI. Reimbursement

SSA and OPM currently engage in several different matching programs. The programmatic savings to each agency for these matches far outweigh the costs for each agency. SSA and OPM agree that the matching programs are mutually beneficial; therefore, the expenses involved in this match will be deemed reciprocal and not involve any reimbursable arrangements between the two agencies.

The attached chart (Appendix D) provides the cost comparison analysis for reciprocal services that SSA and OPM provide to each other. However, in the event of material changes to the matching programs between SSA and OPM, SSA and OPM agree to make cost adjustments so that neither agency bears a disproportionate share of the costs. SSA and OPM will make such adjustments utilizing a reimbursable agreement.

XII. Duration, Modification, and Termination

A. Effective Date

The effective date of this agreement is April 27, 2024, provided that OPM reported the proposal to re-establish this matching program to the Congressional committees of jurisdiction and OMB in accordance with 5 U.S.C. § 552a(o)(2)(A) and OMB Circular A-108 (December 23, 2016) and OPM published notice of the matching program in the *Federal Register* in accordance with 5 U.S.C. § 552a(e)(12).

B. Duration

This agreement will be in effect for a period of 18 months.

C. Renewal

The Data Integrity Boards (DIB) of SSA and OPM may, within three months before the expiration of this agreement, renew this agreement for a period not to exceed 12 months if SSA and OPM can certify to their respective DIBs that:

1. The matching program will be conducted without change; and
2. SSA and OPM have conducted the matching program in compliance with the original agreement.

If either party does not want to continue this program, it must provide written notification to the other party of its intention not to continue at least 90 days before the end of the agreement.

D. Modification

The parties may modify this agreement at any time by a written modification, agreed to by both parties and approved by the DIB of each agency.

E. Termination

The parties may terminate this agreement at any time with the consent of both parties. Either party may unilaterally terminate this agreement upon written notice to the other party requesting termination, in which case the termination will be effective 90 days after the date of such notice or at a later date specified in the notice.

Notwithstanding the above, either SSA or OPM may immediately and unilaterally suspend the data flow under this agreement or terminate this agreement if the agency:

1. Determines that the other agency has used or disclosed the information in an unauthorized manner.
2. Determines that the other agency has violated or failed to follow the terms of this agreement; or
3. Has reason to believe that the other agency breached the terms for security of data. If an agency suspends the data flow in accordance with this subsection, it will suspend the data until it makes a final determination of a breach.

XIII. Dispute Resolution

Disputes related to this agreement will be resolved in accordance with instructions provided in the Treasury Financial Manual (TFM) Volume I, Part 2, Chapter 4700, Appendix 5, Intragovernmental Transactions Guide.

XIV. Persons to Contact

A. OPM Contacts:

Matching Agreement Issues

Lisa Morgan, Program Analyst
Office of Personnel Management
1900 E Street, NW, Room 3316-S
Washington, DC 20415
Telephone: (202)936-0866
Email: Lisa.Morgan@opm.gov

Program Issues

Jenero Sigler, Program Manager
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Washington, DC 20415
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Computer System Issues

Olu Faokunla
CIO/FITBS/RSITPMO
Office of Personnel Management
1900 E Street, NW Room 4H31-S
Washington, DC 20415
Telephone: (202) 606-1776
Email: Olu.Faokunla@opm.gov

Privacy Issues

Kirsten J. Moncada
Chief Privacy Officer
U.S. Office of Personnel Management
1900 E Street, NW, Room 5H27E
Washington, DC 20415
Telephone: (771) 216-6267
Email: Kirsten.Moncada@opm.gov

Systems Security Issues

James Saunders
Chief Information Security Officer,
Cybersecurity Division
U.S. Office of Personnel Management
1900 E Street, NW, Room 5454
Washington, DC 20415
Telephone: (o) (202) 936-1715
(c) (202) 803-1560
Email: James.Saunders@opm.gov

B. SSA Contacts:

Matching Agreement Issues

Kim Cromwell, Government Information Specialist
Office of the General Counsel
Office of Privacy and Disclosure
Social Security Administration
6401 Security Boulevard, 4th Floor Altmeyer Building
Baltimore, MD 21235

Telephone: (410) 966-1392/Facsimile: (410) 594-0115
Email: Kim.Cromwell@ssa.gov

Computer Systems Issues

Angil Escobar, Branch Chief
DDE/Verifications & Exchanges Analysis Branch of Office of Enterprise Information System
Office of Systems
Social Security Administration
6401 Security Boulevard, 3-F-3 Robert M. Ball Building
Baltimore, MD 21235
Telephone: (410) 965-7213
Email: Angil.Escobar@ssa.gov

Systems Security

Jennifer Rutz, Director
Office of Information Security
Division of Compliance and Assessments
Social Security Administration
Suite 3383 Perimeter East Building, 6201 Security Boulevard
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Email: Jennifer.Rutz@ssa.gov

Project Coordinator

Rona Demb
Office of Data Exchange and International Agreements
Office of Data Exchange, Policy Publications, and International Negotiations
Office of Retirement and Disability Policy
Social Security Administration
6401 Security Boulevard, 4-B-9-F Annex Building
Baltimore, MD 21235
Telephone: (410) 965-7567
Email: Rona.Demb@ssa.gov

XV. Integration

This agreement and Appendices A, B, C, D, and E constitute the entire agreement of the parties concerning its subject matter and supersedes all other data exchange agreements between the parties that pertain to the disclosure of the specified SSA benefit information to OPM's third party match (disability annuitants, children survivor annuitants, and spousal survivor annuitants) made between SSA and OPM for the purposes described herein. There have been no representations, warranties, or promises made outside of this agreement. This agreement takes precedence over any other documents that may conflict with it, including any conflicting terms in any Interconnection Security Agreement (ISA) entered into in accordance with NIST SP 800-47 governing the interconnections between

information technology systems that will be utilized for the transfer of information under this agreement.

Office of Personnel Management

**NICHOLAS
ASHENDEN**

Digitally signed by
NICHOLAS ASHENDEN
Date: 2023.12.20
11:26:44 -05'00'

Margaret P. Pearson
Associate Director
Retirement Services
Office of Personnel Management

Date

KIRSTEN MONCADA

Digitally signed by KIRSTEN
MONCADA
Date: 2024.01.05 13:09:55 -05'00'

Kirsten J. Moncada
Chair, Data Integrity Board

Date

Attachments:

Appendix A – Disability Annuitants

Appendix B – Children Survivor Annuitants

Appendix C – Spousal Survivor Annuitants

Appendix D – Cost Comparison Analysis for Reciprocal Services for OPM and SSA

Appendix E – SVES Record Layout

APPENDIX A
DISABILITY ANNUITANTS

APPENDIX A DISABILITY ANNUITANTS

I. Purpose and Definitions

A. Purpose

SSA will match the OPM input file of retirees receiving a Federal Employees' Retirement System (FERS) disability annuity against SSA's databases to identify persons also receiving disability insurance (DI) benefits under section 223 of the Social Security Act, 42 U.S.C. § 423, and provide the match results to OPM. OPM will use SSA's data to administer the offset of DI benefits from payments to disability retirees under FERS. Under FERS, 5 U.S.C. § 8452(a)(2)(A), for any month in which an annuitant is entitled to both a FERS disability annuity and to a DI benefit, the FERS annuity will be computed as follows: the FERS disability annuity is reduced, for any month during the first year after the annuitant's FERS disability annuity commences or is restored by 100% of the annuitant's assumed Social Security DI benefits for such month, and, for any month occurring during a period other than the period described above, by 60% of the annuitant's assumed Social Security DI benefit for such month.

B. Definitions

1. "SSA's disability insurance benefits and payment date" is the payment date of the monthly Social Security DI benefit payment on the later of the FERS annuity's effective date or Social Security DI benefit effective date. The information provided will be the monthly Social Security DI benefit (prior to any reduction for receipt of benefits from the U.S. Department of Labor's Office of Workers' Compensation Programs or railroad retirement benefits) and the date of the payment given (either the OPM date transmitted with the request or the SSA effective date), if later. OPM needs this information to know the amount to offset the FERS annuity and the date the offset should begin.
2. "Annuitant" means a person entitled to a FERS retirement.
3. "Beneficiary" means a person entitled to Social Security DI benefits.
4. "Disclosure" means the release of information (data) with or without the consent of the annuitant(s) to whom the information pertains.

II. Justification and Anticipated Results/Cost Benefit Analysis

A. Justification

By law (see Article I.A. of this appendix), OPM is required to reduce the FERS disability annuities of individuals receiving Social Security DI benefits. OPM must

rely on the annuitant to report the correct amount of Social Security DI benefits. However, OPM has found that many beneficiaries fail to report accurate information. Information from SSA is the most effective means available for verifying receipt and amount of Social Security DI benefits. The most cost-effective and efficient way to obtain this information is via the computer transfer described in this agreement.

B. Anticipated Results

OPM's administration of the FERS disability annuity rolls indicate that detection of Social Security DI benefits is critical to ensuring proper payment of benefits and retroactive adjustment of OPM disability benefits where SSDI benefits are awarded. OPM's Fiscal Year 2022 gross savings in overpayments are \$1,379,238.00 because of this matching activity, based on FERS disability cases requiring follow-up. OPM's projected operating costs for the match over the 12 months consist of program and IT cost. Program office staff costs are \$77,506.56 and the Office of the Chief Information Officer/IT costs are \$895.80 for a total \$78,402.36.

The monthly requests will number an average of 20,000 records.

III. Description of Matched Records

A. Systems of Records (SOR)

SSA's SOR applicable to this matching activity are as follows: the Master Files of Social Security Number (SSN) Holders and SSN Applications (Enumeration System), 60-0058, last fully published at 87 Fed. Reg. 263 (January 4, 2022); the Master Beneficiary Record (MBR), 60-0090, last fully published at 71 Fed. Reg. 1826 (January 11, 2006), as updated at 72 Fed. Reg. 69723 (December 10, 2007); 78 Fed. Reg. 40542 (July 5, 2013); 83 Fed. Reg. 31250-31251 (July 3, 2018); and 83 Fed. Reg. 54969 (November 1, 2018).

OPM's SOR Notice (SORN) applicable to this matching activity is OPM/Central-1, Civil Service Retirement and Insurance Records. For records from OPM/Central-1, OPM published the SORN in the *Federal Register* at 73 Fed. Reg. 15013 (March 20, 2008) and 87 FR 5874 (February 2, 2022).

The information in the identified SORs may be updated during the effective period of this agreement as required by the Privacy Act. The SORNs involved in this computer matching activity have routine uses permitting the disclosures needed to conduct this match.

B. Specified Data Elements

1. The file OPM provides will contain the full name, SSN, date of birth, and necessary State Verification and Exchange System (SVES) indicator, as required to extract Title II data from SSA's MBR via SVES.

2. SSA will verify the SSNs that OPM submits using the Enumeration System. If the SSN does not verify, SSA will provide a code indicating the reason for the failure. When the SSN verifies, SSA will match the SSN against the MBR. SSA will provide Title II status and associated data from the MBR to OPM via SVES. See Appendix E (SVES Record Layout) for the full list of data elements from the MBR.

C. Number of Records

As frequently as daily, OPM will provide SSA, via the File Transfer Management System, with the information necessary to identify those FERS disability annuitants in a pending status for whom OPM needs the payment information. The daily requests will number an average of 131. In addition, up to two times each month OPM will provide SSA with information identifying all disability annuitants in a pay status for whom OPM has no record of SSA payment. The monthly requests will number an average 18,644 records.

D. Frequency of Matching

This information exchange will occur daily. See Article XII of the main agreement for information regarding the projected duration of the agreement.

APPENDIX B
CHILDREN SURVIVOR ANNUITANTS

APPENDIX B CHILDREN SURVIVOR ANNUITANTS

I. Purpose and Definitions

A. Purpose

SSA will match the OPM input file of Federal Employees' Retirement System (FERS) child survivor annuitants against SSA's databases to identify persons also receiving a Social Security child's insurance benefit (CIB) under Title II of the Social Security Act, 42 U.S.C. § 401, et seq., and provide the match results to OPM. OPM will use SSA's data to administer the offset of CIBs from survivor benefits payable to children of deceased FERS employees and annuitants.

Under FERS, 5 U.S.C. § 8443(a), the total FERS survivor benefit payable to all children of the deceased employee or retiree should be reduced, as follows: the total amount of the FERS survivor benefit payable to the surviving children of a deceased FERS employee or retiree for any month is reduced by the total amount of any Social Security CIBs paid for such month based on the earnings of the same annuitant or retiree. OPM divides the payable FERS benefit equally among all eligible children, even if some are not eligible for Social Security CIBs.

B. Definitions

1. "Child's Insurance Benefits" or "CIBs" are those benefits payable to children under section 202(d) of the Social Security Act, 42 U.S.C. § 402(d).
2. "Survivor Annuitant" means a person entitled to a FERS annuity.
3. "Beneficiary" means a person entitled to Social Security benefits.
4. "Disclosure" means the release of information (data) with or without the consent of the annuitant(s) to whom the information pertains.

II. Justification and Anticipated Results/Cost Benefit Analysis

A. Justification

OPM is required by law (see Section I.A. of this appendix) to offset the total FERS annuity benefit payable to all surviving eligible children of a deceased FERS employee or retiree by the total amount of any Social Security CIBs payable to the employee's or retiree's children based on the Social Security earnings of the deceased employee or retiree.

OPM must rely on the children's payees to report the correct amount of Social Security CIBs. However, OPM has found that many payees fail to make these reports

in a timely fashion. OPM must delay payment until the information is documented on file. OPM relies on the annuity recipients to submit copies of documentation provided to them by SSA that verifies payments to children. OPM must then interpret the various documents to determine the amount paid for all children in a case. The most cost-effective and efficient way to obtain this information is via the computer transfer described in this agreement.

B. Anticipated Results

Many children under 18 who receive FERS child survivor annuities also receive Social Security CIBs. Therefore, OPM does not pay FERS annuity to a child survivor until verification of his or her SSA benefit is on file. No monetary savings related to the processing and collections of overpayments are anticipated to result from this matching program.

The savings will be realized in the time taken to document and authorize payments to FERS survivor annuitants and in the amount of time needed to obtain and interpret SSA information provided to OPM. This match allowed OPM to save 3,036 employee hours in 2022, which translated to annual cost savings of \$137,591.52 in 2022.

SSA does not expect any savings for any SSA programs to result from this matching program. SSA's annual systems costs for this matching program are estimated to be not more than \$82 of the \$5,888 total costs for Appendix B. The benefit-to-cost ratio exceeds 4,228 to 1.

The daily requests will number an average of 12.

III. Description of Matched Records

A. Systems of Records (SOR)

SSA's SORs applicable to this matching activity are as follows: the Master Files of Social Security Number (SSN) Holders and SSN Applications (Enumeration System), 60-0058, last fully published at 87 Fed. Reg. 263 (January 4, 2022); the Master Beneficiary Record (MBR), 60-0090, last fully published at 71 Fed. Reg. 1826 (January 11, 2006), updated at 72 Fed. Reg. 69723 (December 10, 2007); 78 Fed. Reg. 40542 (July 5, 2013); 83 Fed. Reg. 31250-31251 (July 3, 2018); and 83 Fed. Reg. 54969 (November 1, 2018).

OPM's SOR Notice (SORN) applicable to this matching activity is OPM/Central-1, Civil Service Retirement, and Insurance Records. For records from OPM/Central-1, OPM published the SORN in the *Federal Register* at 73 Fed. Reg. 15013 (March 20, 2008), and 87 FR 5874 (February 2, 2022).

The information in the identified SORs may be updated during the effective period of this agreement as required by the Privacy Act. The SORNs involved in this computer matching program have routine uses permitting the disclosures needed to conduct this match.

B. Specified Data Elements

1. The file OPM provides will contain the full name, SSN, date of birth, and necessary State Verification and Exchange System (SVES) indicator, as required to extract Title II data from SSA's MBR via SVES.
2. SSA will verify the SSNs that OPM submits using the Enumeration System. If the SSN does not verify, SSA will provide a code indicating the reason for the failure. When the SSN verifies, SSA will match the SSN against the MBR. SSA will provide Title II status and associated data from the MBR to OPM via SVES. See Appendix E (SVES Record Layout) for the full list of data elements from the MBR.

C. Number of Records

As frequently as daily, OPM will provide SSA, via File Transfer Management System, with the information necessary to identify FERS annuitants in a pending status for whom OPM needs the payment information. The daily requests will number an average of eight annuitants.

D. Frequency of Matching

This information exchange will occur daily. See Article XII of the main agreement for information regarding the projected duration of the agreement.

APPENDIX C

SPOUSAL SURVIVOR ANNUITANTS

APPENDIX C SPOUSAL SURVIVOR ANNUITANTS

I. Purpose and Definitions

A. Purpose

SSA will match the OPM input file of surviving spouses who may be eligible to receive the Federal Employees' Retirement System (FERS) Supplementary Annuity against SSA's mother's or father's insurance benefit and/or disabled widow(er)'s insurance benefit records in SSA's databases and provide the match results to OPM. OPM will use SSA's data to determine eligibility for the survivor supplement payment under FERS. If the surviving spouse is receiving one of the above-described Social Security benefits, he or she is not eligible to receive the FERS Supplementary Annuity.

Under FERS, 5 U.S.C. § 8442(f) provides that a survivor who is entitled to a survivor annuity and who meets certain other statutory requirements is also entitled to a Supplementary Annuity. To be eligible to receive a supplementary annuity for a given month, the surviving spouse of a deceased FERS annuitant must be eligible for a FERS survivor annuity; be under age 60; be an annuitant who would be entitled to widow's or widower's insurance benefits under the requirements of section 202(e) or (f) of the Social Security Act, 42 U.S.C. § 402(e) and (f), based on the wages and self-employment income of the deceased annuitant (determined as of the date of the annuitant's death, as if the survivor had attained age 60); and otherwise satisfied requirements for widow's or widower's insurance benefits. See 5 U.S.C. § 8442(f)(4)(B).

The annuitant must not be eligible for Social Security mother's or father's insurance benefits or disabled widow(er)'s insurance benefits based on the deceased annuitant's wages and self-employment income.

B. Definitions

1. "Survivor Annuitant" means a person entitled to a FERS annuity.
2. "Beneficiary" means a person entitled to Social Security benefits.
3. "Disclosure" means the release of information (data) with or without the consent of the annuitant(s) to whom the information pertains.

II. Justification and Anticipated Results/Cost Benefit Analysis

A. Justification

OPM is required by law (see I.A. of this appendix) not to pay Supplementary Annuity benefits to any surviving spouse who receives mother's or father's insurance benefits or disabled widow(er)'s insurance benefits.

OPM must rely on survivors to report if they are receiving mother's or father's insurance benefits or disabled widow(er)'s insurance benefits. However, OPM has found that many survivors fail to report accurate information regarding receipt of these benefits. Information from SSA is the most effective means available for verifying receipt of the relevant Social Security benefits. The most cost-effective and efficient way to obtain this information is via computer transfer.

B. Anticipated Results

Many Supplementary Annuity benefits are authorized after the receipt of all supporting documentation. No monetary savings related to the processing and collections of overpayments are expected to result from this matching program.

The savings will be realized in the time taken to document and authorize payments to FERS survivor annuitants and the amount of time needed to obtain and interpret SSA information provided to OPM. This match allowed OPM to save 1,974 employee hours in 2022, which translated to annual cost savings of \$89,461.68 in 2022. SSA does not expect any savings for any SSA programs to result from this matching program. SSA's annual systems costs for this matching program are estimated to be not more than \$36 of the \$5,888 total costs for Appendix C. The benefit-to-cost ratio exceeds 1,480 to 1.

The daily requests will number an average several hundred.

III. Description of the Matched Records

A. Systems of Records (SOR)

SSA's SORs applicable to this matching activity are as follows: the Master Files of Social Security Number (SSN) Holders and SSN Applications (Enumeration System), 60-0058, last fully published at 87 Fed. Reg. 263 (January 4, 2022); the Master Beneficiary Record (MBR), 60-0090, last fully published at 71 Fed. Reg. 1826 (January 11, 2006), updated at 72 Fed. Reg. 69723 (December 10, 2007); and 78 Fed. Reg. 40542 (July 5, 2013); 83 Fed. Reg. 31250-31251 (July 3, 2018); and 83 Fed. Reg. 54969 (November 1, 2018).

OPM's SOR Notice (SORN) applicable to this matching activity is OPM/Central-1, Civil Service Retirement, and Insurance Records, published at 73 Fed. Reg 15013 (March 20, 2008) and 87 FR 5874 (February 2, 2022).

The information in the identified SORs may be updated during the effective period of this agreement as required by the Privacy Act. The SORNs applicable to this computer matching activity have routine uses permitting the disclosures needed to conduct this match.

B. Specified Data Elements

1. The file OPM submits will contain the full name, SSN, date of birth, and necessary State Verification and Exchange System (SVES) indicator, as required to extract Title II data from SSA's MBR via SVES.
2. SSA will verify the SSNs OPM submits using the Enumeration System. If the SSN does not verify, SSA will provide a code indicating the reason for the failure. When the SSN verifies, SSA will match the SSN against the MBR. SSA will provide Title II status and associated data from the MBR to OPM via SVES. See Appendix E (SVES Record Layout) for the full list of data elements from the MBR.

C. Number of Records

As frequently as daily, OPM will provide SSA, via the File Transfer Management System, with the information necessary to identify those FERS survivor annuitants in a pending status for whom OPM needs the payment information. The daily requests will number an average of four spousal annuitants.

D. Frequency of Matching

This information exchange will occur as frequently as daily. See Article XII of the main agreement for information regarding the projected duration of the agreement.

APPENDIX D

**COST COMPARISON ANALYSIS FOR RECIPROCAL SERVICES FOR OPM AND
SSA**

APPENDIX D

COST COMPARISON ANALYSIS FOR RECIPROCAL SERVICE FOR OPM AND SSA

Mutually Beneficial Agreements

SSA Source

| Matching Program | SSA Costs | OPM Costs* | OPM Gross Savings | OPM Net Savings** |
|-------------------------|------------------|-------------------|--------------------------|--------------------------|
| 219 | \$13,428 | \$18,433 | \$774,658,015 | \$774,626,154 |
| 1045 | \$24,238 | \$408,458 | \$6,508,802 | \$6,076,107 |
| 1071 | \$5,474 | \$78,402 | \$1,379,238 | \$1,295,362 |
| Totals | \$43,140 | \$505,293 | \$782,546,055 | \$781,997,623 |

SSA Recipient

| Matching Program | SSA Costs | OPM Costs* | SSA Gross Savings | SSA Net Savings** |
|-------------------------|--------------------|-------------------|--------------------------|--------------------------|
| 1005 | \$30,717 | \$4,608 | \$542,505 | \$507,180 |
| 1019 | \$69,113 | \$4,608 | \$179,514 | \$105,793 |
| 1020 | \$406,058 | \$4,608 | \$1,127,341 | \$716,675 |
| 1021 | \$624,671 | \$4,608 | \$4,457,551 | \$3,828,272 |
| 1307 | \$7,443 | \$4,608 | \$892,355 | \$880,304 |
| Totals | \$1,138,002 | \$23,040 | \$7,199,226 | \$6,038,224 |

***The OPM costs may not reflect the current year's cost for the matching agreement. The Gross Savings minus the SSA and OPM costs equal the Net Savings.**

10/24/2023

APPENDIX E
SVES RECORDS LAYOUT

APPENDIX E
SVES RECORD LAYOUT

SSN VERIFICATION/STANDARD RESPONSE RECORD LAYOUT – ABRIDGED

Note: SCHIP and PCIP requests return the Verification response *only*. (Type 1)

| DATA ELEMENT | POSITION |
|---|-----------------|
| Input SSN | 1-9 |
| Input Claim Account Number (CAN) (10-18)/BIC (19-21) | 10-21 |
| Input Surname | 22-40 |
| Input Middle Initial | 41 |
| Input First Name | 42-53 |
| Input Date of Birth | 54-61 |
| Input Sex | 62 |
| | |

| DATA ELEMENT | POSITION |
|--|-----------------|
| Input State Agency Code | 63-65 |
| Input Category of Assistance Code | 66 |
| Input State Communication Code | 67-69 |
| Input Welfare ID No. | 70-91 |
| Date of WTPY Response | 92-99 |
| Error Condition Code | 100-102 |
| Identity Discrepancy Code | 103-104 |
| Blank | 105-107 |
| Verification Code | 108 |
| Verification SSN Data | 109-153 |
| Record Type | 154 |

| DATA ELEMENT | POSITION |
|------------------|----------|
| Title II Status | 155 |
| Title XVI Status | 156 |

8A.2 SSN VERIFICATION/STANDARD RESPONSE RECORD LAYOUT – UNABRIDGED

Note: SCHIP and PCIP requests return the Verification response *only*. (Type 1)

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|---|------|----------|--|
| 9 | Input SSN | N | 1-9 | The Social Security Number input by the State. |
| 12 | Input Claim Account Number (CAN) (10-18)/BIC (19-21) | AN | 10-21 | The CAN/BIC (Claim Account Number/Beneficiary Identification Code) input by the State. If this field is used, the Input SSN field (positions1-9) must be spaces and not zeros. Zeros in the Input SSN will cause a reject. |
| 19 | Input Surname | AN | 22-40 | The surname input by the State. |
| | | | | |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|-----------------------------------|------|----------|---|
| 1 | Input Middle Initial | AN | 41 | The middle initial input by the State. |
| 12 | Input Given Name | AN | 42-53 | The given name input by the State. |
| 8 | Input Date of Birth | N | 54-61 | The date of birth input by the State. Format: MMDDCCYY |
| 1 | Input Sex | A | 62 | The sex code input by the State. |
| 3 | Input State Agency Code | AN | 63-65 | The State agency code (must be the 2-position State number preceded by the numeric agency code; normally zero). |
| 1 | Input Category of Assistance Code | A | 66 | The category of assistance code input by the State. A Aged B Blind C AFDC D Disabled F Food Stamps H Health Maintenance I Income Maintenance |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|--------------------------------|------|----------|---|
| | | | | N Title XIX Medicaid Eligibility S Statement of Consent W PCIP Z SCHIP |
| 3 | Input State Communication Code | AN | 67-69 | The State Communication Code as input by the State. |
| 22 | Input Welfare ID No. | AN | 70-91 | The welfare number input by the State. |
| 8 | Date of WTPY Response | N | 92-99 | The date the response was formatted by SSA. Format: MMDDCCYY |
| + 3 | Error Condition Code | N | 100-102 | Error conditions caused by invalid or missing data. 101 CAN invalid or missing 102 SSN invalid or missing 103 Both CAN and SSN are invalid 110 CAN unverified 120 SSN unverified 201 Surname missing 202 Given name missing 300 Date of birth not possible, |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|---------------------------|------|----------|--|
| | | | | <p>or contains alpha characters or is missing</p> <p>400 Non-alpha entry was entered in the Sex field</p> <p>500 Input State Code requested a query for which they haven't been approved. No verification or other action will be taken</p> <p>600 SSN not verified, other reason</p> <p>Blank Input data is valid</p> |
| 2 | Identity Discrepancy Code | AN | 103-104 | <p>The input query data does not match the identifying data on the queried record. This data is provided <i>for informational purposes only</i> on verified queries; it does not effect the response provided.</p> <p>The following are codes indicating the type of discrepancy. <u>Position 103</u> contains the code for <u>Title II</u> and <u>Position 104</u> contains the code for <u>Title XVI</u>.</p> <p>2 Birth date does not match exactly</p> <p>4 Given name does not match exactly</p> <p>6 Given name and birth date do not match exactly</p> <p>8 Surname does not match exactly</p> <p>A Surname and birth date do</p> |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|-------------------|------|----------|---|
| | | | | <p>not match exactly</p> <p>C Surname and given name do not match exactly</p> <p>E Surname, given name and birth date do not match exactly</p> <p>Blank Match</p> <hr/> <p>1 Ignore this code</p> <p>3 Ignore this code</p> <p>O Ignore this code</p> <p>F Ignore this code</p> |
| 3 | Blank | | 105-107 | Not used |
| 1 | Verification Code | AN | 108 | <p>Indicates SSN verification or the Reason for non-verification:</p> <p>Blank Records failing initial edit checks and not making it as far as the verification Process</p> <p>V SSN is verified. Not returned for SCHIP or PCIP requests.</p> <p>X SSN is verified, NUMIDENT indicates individual is deceased. The Date of Death on the NUMIDENT will be displayed in the Verified SSN Data field (positions 109-153 of the Type 1 response) for those records where source of</p> |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|--------------|------|----------|--|
| | | | | <p>Death data is any source other than a State that restricts redisclosure of the State reported Date of Death. <i>Date of Death is not returned for SOLQ transactions.</i> Not returned for SCHIP or PCIP requests.</p> <p>1 SSN not found on NUMIDENT</p> <p>3 Surname matched, but DOB did not match NUMIDENT. The DOB on the NUMIDENT will be displayed in the Verified SSN Data field (positions 109-153 of the Type I response)</p> <p>5 Surname or given name does not match NUMIDENT (e.g., SSN submitted for John Smith belongs to Pam Jones); DOB was not checked.</p> <p>F SSN is verified (surname ignored). Picks up cases where person has changed their surname (e.g. marriages, adoptions).</p> <p>M SSN verified via MBR or SSR rather than NUMIDENT (overlays value of '1')</p> <p>P SSN verified via MBR or SSR rather than NUMIDENT (overlays value of '3')</p> <p>R SSN verified via MBR or SSR rather than NUMIDENT (overlays value of '5')</p> <p>Z Verification code for records in which State submitted a CAN (claim account number)</p> |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|--------------|------|----------|--|
| | | | | <p>instead of an SSN. SSA found the CAN on the MBR, but did not verify the SSN with the NUMIDENT</p> <p>* The input SSN was not verified. SSA located and verified the SSN returned in the Verified SSN Data field (positions 109-153 of the Type I response). The SSN on the response was located by swapping every number in the input SSN with every other number; this resolves transcription errors.</p> <p>& Multiple SSNs are provided in Verified SSN data field, up to five. This response will immediately follow a response with an alpha verification code in about 1% of the cases. The multiple SSNs are ones which were previously issued to individuals. Benefits may or may not have been paid on the multiple SSNs. <i>This code is not returned for SOLQ transactions.</i></p> <p>.</p> <p>Low- Same as blank.</p> <p>values</p> <p>The following Verification Codes are only returned for SCHIP and PCIP requests:</p> <p>A SSN is verified, there is no</p> |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|-----------------------|------|----------|---|
| | | | | <p>indication of death, and the allegation of citizenship is consistent with SSA data.</p> <p>B SSN is verified, there is no indication of death, and the allegation of citizenship is NOT consistent with SSA data.</p> <p>C SSN is verified, there is indication of death, and the allegation of citizenship is consistent with SSA data.</p> <p>D SSN is verified, there is indication of death, and the allegation of citizenship is NOT consistent with SSA data.</p> |
| 45 | Verification SSN Data | AN | 109-153 | <p>Data that accompanies the Verification Code field:</p> <p>If the Verification Code is *, then this field will contain the SSN located by SSA which differs from the SSN submitted by the State.</p> <p>If the Verification Code is 3 or P, then the date of birth will be shown.</p> <p>If the Verification Code is X, then the NUMIDENT date of death will be shown if the source of Death data is any source other than a State that restricts redisclosure of the State reported Date of Death. The dates will be displayed as MM/DD/CCYY (ten positions). This date is taken</p> |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|-----------------|------|----------|--|
| | | | | <p>from the NUMIDENT file.</p> <p>If the Verification Code is &, then this field will show the multiple SSNs which were previously issued to an individual. Benefits may or may not have been paid on the multiple SSNs. The WTPY response with an "&" verification code will immediately follow a WTPY response with an alpha verification code in about 1% of the cases.</p> |
| 1 | Record Type | N | 154 | <p>Indicates the content of the response:</p> <p>1 Response is the standard response only</p> <p>2 Response contains Title II data</p> <p>3 Response contains Title XVI data</p> <p>4 Response contains Title II data and Title XVI data</p> |
| 1 | Title II Status | A | 155 | <p>Indicates presence of a Title II record:</p> <p><i>Note: This is not returned for Prisoner data requests.</i></p> <p>Blank SSA's Client Record Index (CRI) is unable to obtain information as to the existence of a record; or, the</p> |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|------------------|------|----------|---|
| | | | | <p>request was for Prisoner data and CRI was not checked</p> <p>C SSA's Client Record Index indicates that there is a record, but SVES could not locate it</p> <p>D SSA has a record, but there is a name or DOB discrepancy between SSA's and the State's record. Data is not returned if requested</p> <p>Y A Title II record exists</p> <p>N A Title II record does not exist</p> |
| 1 | Title XVI Status | A | 156 | <p>Indicates presence of a Title XVI record:</p> <p><i>Note: This is not returned for Prisoner data requests.</i></p> <p>Blank SSA's Client Record Index (CRI) is unable to obtain information as to the existence of a record; or, the request was for Prisoner data and CRI was not checked</p> <p>C There may be a record, however SSA's Index system was unable to find it</p> <p>D SSA has a record, but the name or DOB on the State's record is discrepant with SSA's information. Data is</p> |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|--------------|------|----------|-------------------------------------|
| | | | | not returned if requested. |
| | | | | Y A Title XVI record exists |
| | | | | N A Title XVI record does not exist |

8B.1 TITLE II RESPONSE RECORD LAYOUT - ABRIDGED

(this is appended to SSN Verification/Standard Response)

| DATA ELEMENT | POSITION |
|---|----------|
| Title II Claim Account Number (CAN) and BIC | 157-168 |
| State and County Code | 169-173 |
| ZIP Code | 174-178 |
| ZIP + 4 | 179-182 |
| Number of Lines of Address | 183 |
| Address | 184-315 |
| Direct Deposit Indicator | 316 |

| DATA ELEMENT | POSITION |
|--|-----------------|
| Deferred Payment Date | 317-322 |
| Schedule Payment Indicator | 323 |
| Schedule Payment Date | 324-329 |
| Schedule Prior Payment Amount | 330-336 |
| Schedule Current Payment Amount | 337-342 |
| Schedule Payment Combined Check Indicator | 343 |
| LAF (Ledger Account File) Code | 344-345 |
| Date of Birth | 346-353 |
| Proof of Age Indicator | 354 |
| Given Name | 355-364 |
| Middle Initial | 365 |
| Surname | 366-377 |
| | |

| DATA ELEMENT | POSITION |
|---|-----------------|
| Date of Initial Entitlement | 378-383 |
| Date of Current Entitlement | 384-389 |
| Date of Suspension or Termination | 390-395 |
| Sex Code | 396 |
| Net Monthly Benefit if Payable (MBP) | 397-402 |
| Medicare Indicator | 403 |
| Hospital Insurance (HI) Indicator | 404 |
| HI Option Code | 405 |
| HI Start Date | 406-411 |
| HI Stop Date | 412-417 |
| HI Premium | 418-422 |
| HI Buy-In Indicator | 423 |
| | |

| DATA ELEMENT | POSITION |
|---|-----------------|
| HI Buy-In Code | 424-426 |
| HI Buy-In Start Date | 427-432 |
| HI Buy-In Stop Date | 433-438 |
| Supplemental Medical Insurance (SMI) Indicator | 439 |
| SMI Option Code | 440 |
| SMI Start Date | 441-446 |
| SMI Stop Date | 447-452 |
| SMI Premium | 453-457 |
| SMI Buy-In Indicator | 458 |
| SMI Buy-In Code | 459-461 |
| SMI Buy-In Start Date | 462-467 |
| SMI Buy-In Stop Date | 468-473 |
| Welfare Agency Code | 474-476 |

| DATA ELEMENT | POSITION |
|--|----------------------|
| Category of Assistance Code | 477 |
| Black Lung Entitlement Code | 478 |
| Black Lung Payment Amount | 479-484 |
| Railroad Indicator | 485 |
| Person's Own Social Security Number (SSN) | 486-494 |
| Date of Death | 495-502 |
| Disability Onset Date | 503-510 |
| Number of Cross-reference Account Number (XLAN) Occurrences | 511 |
| Cross-Reference (XREF) Entitlement Number * | 512-571 (Field 1) |
| Cross-Reference (XREF) BIC * | 512-571 (Field 2) |
| Cross-Reference (XREF) Code * | 512-571 |

| DATA ELEMENT | POSITION |
|---|----------------------|
| | (Field 3) |
| Dual Entitlement Number | 572-580 |
| Dual Entitlement BIC | 581-582 |
| Number of History Occurrences | 583-584 |
| Monthly Benefit Credited (MBC) Date ** | 585-688 (Field 1) |
| MBC Amount ** | 585-688 (Field 2) |
| MBC Type ** | 585-688 (Field 3) |
| Other Date of Entitlement | 689-694 |
| Other Primary Insurance Amount | 695-700 |
| Other Retirement Insurance Amount | 701-706 |
| Larger Full Monthly Benefit Amount | 707-712 |

| DATA ELEMENT | POSITION |
|---|-----------------|
| Larger Excess Monthly Benefit Amount | 713-718 |
| Smaller Full Monthly Benefit Amount | 719-724 |
| Smaller Actuarially Reduced Monthly Benefit Amount | 725-730 |
| Dual Entitlement Status Code | 731 |
| Other Office Code | 732 |
| Type of Dual Entitlement | 733 |
| Other Primary Insurance Amount Factor Code | 734 |
| Other Primary Insurance Amount Factor Code Two | 735 |
| Other Eligibility Year | 736-739 |
| Reserved for future use | 740-839 |

*There could be 5 occurrences of this information.

**There could be 8 occurrences of this information (See "Error! Reference source not found." for an explanation of how to use this information.)

8B.2 TITLE II RESPONSE RECORD LAYOUT - UNABRIDGED

(this is appended to SSN Verification/Standard Response)

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|--|------|----------|--|
| 12 | Title II Claim Account Number (CAN) and BIC | AN | 157-168 | <p>Claim Account Number (positions 157-165) and Beneficiary Identification Code (positions 166-168).</p> <p>The Claim Account Number (CAN) and Beneficiary Identification Code (BIC) under which a Title II claim exists. The CAN portion of the 'claim number' is the SSN of the wage earner on whose record benefits are being paid.</p> <p>The complete list of BIC Code values are listed in Error! Reference source not found.</p> |
| 5 | State and County Code | AN | 169-173 | <p>The first two positions represent the State code; the remaining positions are the county codes (from the Geographic Code Book) that are responsible for any mandatory or optional supplementation payment. This field represents the State and county residence for recipients unless another State and county have jurisdiction.</p> |
| 5 | ZIP Code | N | 174-178 | <p>The zip code of the residence address.</p> |
| | | | | |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|----------------------------|------|----------|--|
| 4 | ZIP + 4 | N | 179-182 | The additional 4 positions of the zip code where the 9-digit zip code is used. |
| 1 | Number of Lines of Address | N | 183 | The number of 22 position lines of address present. Up to 6 lines maximum. |
| 132 | Address | AN | 184-315 | The residence address of the recipient. |
| 1 | Direct Deposit Indicator | A | 316 | This field will indicate if there is direct deposit data for benefits: C Checking E Electronic Benefits Transfer S Savings Blank None |
| 6 | Deferred Payment Date | N | 317-322 | Reflects the month and year the first or next payment can be made. Format: MMCCYY |
| 1 | Schedule Payment Indicator | A | 323 | P Current month accrual amount paid by daily update operation R Current month accrual paid by monthly merge Blank Prior month accrual only |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|-------------------------------|------|----------|--|
| 6 | Schedule Payment Date | N | 324-329 | <p>Shows the current operating month in which the Schedule Current Payment Amount was processed. For example, it would be 8/97 for a Schedule Current Payment that was paid in 9/97.</p> <p>The Schedule Prior Payment Amount is paid in month of Schedule Payment Date.</p> <p>The Schedule Current Payment Amount is paid in month after Schedule Payment Date.</p> <p>Format: MMCCYY</p> |
| 7 | Schedule Prior Payment Amount | N | 330-336 | <p>Accumulated payment certified in the Schedule Payment action for all months through the Prior Month Accrual (PMA) date. (PMA date is always one month prior to the Schedule Payment Date.) Zeros will be shown if an actual payment has not been made. The accrual month is the month preceding the current operating month.</p> <p>For example, the accrual month would be 8/97 if the Schedule Payment Date is 9/97. An 8/97 Schedule Prior Payment Amount check would actually have been received in 9/97.</p> |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|---|------|----------|---|
| | | | | Format: \$\$\$\$cc |
| 6 | Schedule Current Payment Amount | N | 337-342 | Amount certified in the Schedule Payment action for the current operating month as shown in the Schedule Payment Date. The check is actually paid in the month after the Schedule Payment Date. Format: \$\$\$\$cc |
| 1 | Schedule Payment Combined Check Indicator | A | 343 | Y Combined check issued. Indicates Schedule Current Payment Amount. Includes payments for more than one beneficiary (e.g., several children with C BICs). Address information may have shown payments issued to "____ for Children of ____". Refer to Net Monthly Benefit If Payable for individual check amount. N Combined check not issued. Blank Not applicable |
| 2 | LAF (Ledger Account File) Code | AN | 344-345 | Reflects the Master Beneficiary Record (MBR) payment status for this beneficiary. The complete list of LAF Code values are listed in Error! Reference source not found. |
| | | | | |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|-----------------------------|------|----------|---|
| 8 | Date of Birth | N | 346-353 | Self-Explanatory. Format: MMDDCCYY |
| 1 | Proof of Age Indicator | A | 354 | A Alleged B Birth/Baptismal C Convincing evidence F Formerly established by SSA N Not proven P Proven Q Established other than B or C |
| 10 | Given Name | AN | 355-364 | Self-explanatory. |
| 1 | Middle Initial | AN | 365 | Self-explanatory. |
| 12 | Surname | AN | 366-377 | Self-explanatory. |
| 6 | Date of Initial Entitlement | N | 378-383 | Date when beneficiary was originally entitled on this record. Format: MMCCYY |
| 6 | Date of Current Entitlement | N | 384-389 | Date of entitlement to benefits for the current period of entitlement. |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|---|------|----------|---|
| | | | | Format: MMCCYY |
| 6 | Date of Suspension or Termination | N | 390-395 | Date the event causing the suspension or termination occurred. Format: MMCCYY |
| 1 | Sex Code | A | 396 | M Male F Female U Unknown |
| 6 | Net Monthly Benefit if Payable (MBP) | N | 397-402 | Benefit payable after deduction of beneficiary obligations (like SMIB, overpayment, child support, etc.). Format: \$\$\$cc |
| 1 | Medicare Indicator | A | 403 | Y Medicare data is present N Medicare data is not present |
| 1 | Hospital Insurance (HI) Indicator | A | 404 | Indicates whether or not HI data is present. Y Yes N No |
| | | | | |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|----------------|------|----------|---|
| 1 | HI Option Code | A | 405 | <p>C No – cessation of disability</p> <p>D No – Part A coverage denied</p> <p>E Yes – automatic; no premium necessary</p> <p>F No - invalid enrollment terminated</p> <p>G Yes - good cause</p> <p>H No - not eligible for free Part A or did not enroll for premium Part A</p> <p>N Obsolete</p> <p>P Railroad Board has jurisdiction</p> <p>R No – refused free Part A coverage</p> <p>S No - no longer under renal disease provision</p> <p>T None – Part A terminated for nonpayment of premiums</p> <p>W No – withdrawal from premium Part A</p> <p>X No - Title II termination (Part B unchanged)</p> <p>Y Yes - Premiums are payable</p> |
| 6 | HI Start Date | N | 406-411 | <p>Self-explanatory.</p> <p>Format: MMCCYY</p> |
| | | | | |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|----------------------|------|----------|--|
| 6 | HI Stop Date | N | 412-417 | Self-explanatory. Format: MMCCYY |
| 5 | HI Premium | N | 418-422 | Premium amount collectible. Format: \$\$\$cc |
| 1 | HI Buy-In Indicator | A | 423 | This code indicates whether there is third party payer data for HI premiums. Y Yes N No |
| 3 | HI Buy-In Code | AN | 424-426 | State/3rd Party Billing Code The complete list of code values are listed in Error! Reference source not found. |
| 6 | HI Buy-In Start Date | N | 427-432 | First month of coverage for which third party paid HI premium. Format: MMCCYY |
| 6 | HI Buy-In Stop Date | N | 433-438 | Last month of coverage for which |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|--|------|----------|---|
| | | | | <p>third party paid HI premium.</p> <p>Format: MMCCYY</p> |
| 1 | Supplemental Medical Insurance (SMI) Indicator | A | 439 | <p>Indicates whether or not SMI data is present.</p> <p>If SMI Option Code contains Y, G, C, S, T, or W, then this code will be a Y. Otherwise, this code will be set to N.</p> <p>Y Yes</p> <p>N No</p> |
| 1 | SMI Option Code | A | 440 | <p>C No (cessation of disability)</p> <p>D No (Part B coverage denied)</p> <p>F No (invalid enrollment terminated)</p> <p>G Yes (good cause)</p> <p>N No (Puerto Rican beneficiary not entitled; also dually/technically entitled beneficiary not entitled to SMI)</p> <p>P Railroad Board has jurisdiction</p> <p>R No (refused Part B coverage)</p> <p>S No (no longer renal disease provision)</p> <p>T No (Part B terminated for</p> |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|----------------------|------|----------|---|
| | | | | <p>nonpayment of premiums)</p> <p>W No (withdrawal from coverage)</p> <p>Y Yes (has Part B coverage)</p> |
| 6 | SMI Start Date | N | 441-446 | <p>First month of coverage.</p> <p>Format: MMCCYY</p> |
| 6 | SMI Stop Date | N | 447-452 | <p>First month of non-coverage.</p> <p>Format: MMCCYY</p> |
| 5 | SMI Premium | N | 453-457 | <p>Supplemental premium amount collectible.</p> <p>Format: \$\$\$cc</p> |
| 1 | SMI Buy-In Indicator | A | 458 | <p>This code indicates whether there is third party payer data present for SMI premiums.</p> <p>Y Yes</p> <p>N No</p> |
| 3 | SMI Buy-In Code | N | 459-461 | State/3rd Party Billing Code |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|------------------------------------|------|----------|---|
| | | | | The complete list of code values are listed in Error! Reference source not found. |
| 6 | SMI Buy-In Start Date | N | 462-467 | Effective start date of buy-in eligibility. Format: MMCCYY |
| 6 | SMI Buy-In Stop Date | N | 468-473 | Effective stop date of buy-in eligibility. Format: MMCCYY |
| 3 | Welfare Agency Code | N | 474-476 | State exchange welfare code. |
| 1 | Category of Assistance Code | A | 477 | State exchange categorical assistance code: A Aged B Blind C AFDC D Disabled F Food Stamps H Health Maintenance I Income Maintenance J AFDC/Family Services K Medicaid & Food Stamps |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|--|------|----------|--|
| | | | | N Title XIX Medicaid Eligibility P Child Support Enforcement S Statement of Consent U Unemployment Compensation |
| 1 | Black Lung Entitlement Code | A | 478 | D Death termination E Entitled N Nonpayment P Pending entitlement T Terminated (other than death) |
| 6 | Black Lung Payment Amount | N | 479-484 | Self-explanatory. Format: \$\$\$\$cc |
| 1 | Railroad Indicator | A | 485 | A Active claim T Terminated claim S Currently Suspended |
| 9 | Person's Own Social Security Number (SSN) | N | 486-494 | Self-explanatory. |
| 8 | Date of Death | N | 495-502 | Self-explanatory. |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|--|------|----------------------|--|
| | | | | Format: MMDDCCYY |
| 8 | Disability Onset Date | N | 503-510 | First date of onset of disability. Format: MMDDCCYY |
| 1 | Number of Cross-reference Account Number (XLAN) Occurrences | N | 511 | Self-explanatory. See the following three fields for the format of an entry. Up to 5 occurrences maximum. If more than 5 occurrences, 'X' is returned. |
| *9 | Cross-Reference (XREF) Entitlement Number | AN | 512-571 (Field 1) | <p>If the Cross Reference Code = C, the first position of the Cross Reference Entitlement Number is an alpha code as follows:</p> <p>A Beneficiary's own Civil Service Number</p> <p>F Beneficiary's survivor's Civil Service Number</p> <p>S Beneficiary's spouse's Civil Service Number</p> <p>The last seven digits represent the Civil Service Number.</p> <p>For all other Cross Reference Codes, the Cross Reference Entitlement Number is a social security number.</p> |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|--|------|----------------------|---|
| *2 | Cross-Reference (XREF) BIC | AN | 512-571 (Field 2) | <p>The beneficiary identification code associated with the cross-reference entitlement number.</p> <p>The complete list of BIC Code values are listed in Error! Reference source not found.</p> |
| *1 | Cross-Reference (XREF) Code | A | 512-571 (Field 3) | <p>Indicates what type of income the cross-reference number is (e.g., Black Lung, Civil Service, Military etc.).</p> <p>A MAFDUP selection precluded-possible duplicate SSN</p> <p>C Beneficiary's Civil Service number or a notation of Civil Service involvement if no number follows C</p> <p>D Dual wage record number</p> <p>E Simultaneous SSN</p> <p>F Multiple SSN from CAPS</p> <p>G Multiple SSN from ARMSMULT</p> <p>H Multiple SSN from Processing Center or Central Office correction</p> <p>I Occurrence contains key to data on ICDB</p> <p>L Number of Black Lung benefits to which the beneficiary is entitled, or which involve this beneficiary</p> |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|--------------------------------------|------|----------|---|
| | | | | <p>in some way</p> <p>M Number is that of another wage record which belongs to this beneficiary</p> <p>O Number on which beneficiary is or may be potentially entitled to benefits</p> <p>Q Quayle legislation for Veteran's Administration (MAMPSC controls/annotates this information for the entire nation)</p> <p>S Number for prior/potential entitlement as a spouse</p> <p>U Record on which renal kidney disease entitlement is based.</p> <p>V Second validated BOAN</p> |
| 9 | Dual Entitlement Number | N | 572-580 | Other Claim Account Number (CAN) on which entitlement exists. |
| 2 | Dual Entitlement BIC | AN | 581-582 | <p>The beneficiary identification code associated with the dual entitlement number.</p> <p>The complete list of BIC Code values are listed in Error! Reference source not found.</p> |
| 2 | Number of History Occurrences | N | 583-584 | Number of historical payment entries present on the response. See the following three fields for the format of an entry. Up to 8 occurrences maximum. |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|-------------------------------------|------|----------------------|--|
| | | | | See Error! Reference source not found. for more information. |
| **6 | Monthly Benefit Credited (MBC) Date | N | 585-688 (Field 1) | Payment data credited date. MBC amount is paid in the month after this date. Format: MMCCYY |
| **6 | MBC Amount | N | 585-688 (Field 2) | The monthly Title II benefit due after any appropriate dollar rounding (considering a deductible of SMI premium) but prior to the actual collection of any obligation of the Beneficiary (including SMI premium). Amounts may appear after an individual dies. Therefore, States need to check the LAF Code and MBC Type to determine whether payment was issued. Format: \$\$\$\$cc |
| **1 | MBC Type | A | 585-688 (Field 3) | C Benefits paid (credited) N Benefits not paid (not credited) E Benefits not paid (not credited), due to delayed/pending or suspense |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|---|------|----------|---|
| | | | | Blank Benefits not paid (not credited) |
| 6 | Other Date of Entitlement | N | 689-694 | The month and year of the other date of entitlement. Format: MMCCYY |
| 6 | Other Primary Insurance Amount | N | 695-700 | This reflects the controlling Primary Insurance Amount (PIA) for payment on the other claim, whether average month wage or special minimum. Format: \$\$\$\$cc |
| 6 | Other Retirement Insurance Amount | N | 701-706 | This will appear only if the controlling primary insurance amount (PIA) reflects the average monthly wage PIA for the other claim. Format: \$\$\$\$cc |
| 6 | Larger Full Monthly Benefit Amount | N | 707-712 | This reflects the Larger Full Monthly Benefit Amount (LFMBA) reduced for the family maximum. In the case of triple entitlement, LFMBA in the first dual entitlement field is for the auxiliary (B) claim, and LFMBA in the second dual entitlement field is for the survivor (D) claim. Format: \$\$\$\$cc |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|---|------|----------|--|
| 6 | Larger Excess Monthly Benefit Amount | N | 713-718 | <p>This reflects the excess amount payable on the Larger Excess Monthly Benefit Amount (LEMBA). In the case of triple entitlement, LEMBA in the first dual entitlement field is for the auxiliary (B) claim, and LEMBA in the second dual entitlement field is for the survivor (D) claim.</p> <p>Format: \$\$\$\$cc</p> |
| 6 | Smaller Full Monthly Benefit Amount | N | 719-724 | <p>This field contains the Smaller Full Monthly Benefit Amount (SFMBA) reduced for the family maximum. In the case of triple entitlement, SFMBA in the first dual entitlement field is for the primary (A) claim, and SFMBA in the second dual entitlement field is blank.</p> <p>Format: \$\$\$\$cc</p> |
| 6 | Smaller Actuarially Reduced Monthly Benefit Amount | N | 725-730 | <p>This field reflects the Smaller Monthly Benefit Amount reduced for maximum and age (SAMBA). In the case of triple entitlement, SAMBA in the first dual entitlement field is for the primary (A) claim, and SAMBA in the second dual entitlement field is blank.</p> <p>Format: \$\$\$\$cc</p> |
| 1 | Dual Entitlement Status Code | AN | 731 | <p>For triple entitlement cases, dual entitlement status code is based on the primary (A) and auxiliary (B) claims. It is assumed that the survivor</p> |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|--------------------------|------|----------|---|
| | | | | <p>(D) benefit is in the payment status as the primary payment status.</p> <p>Blank Default value</p> <p>0 Neither benefit in current payment status</p> <p>1 Smaller benefit only in current payment status</p> <p>2 Larger benefit only in current payment status</p> <p>3 Both benefits eligible for current payment status (checks may be combined or separate)</p> <p>4 Primary is working on record on which auxiliary entitlement exists</p> <p>5 Larger benefit is subject to full government pension/worker's compensation offset</p> <p>S Dual entitlement suspended, technical entitlement exists</p> <p>T Dual entitlement terminated</p> |
| 1 | Other Office Code | N | 732 | <p>1-8 Payment center that has jurisdiction</p> <p>A-H Payment center that has jurisdiction when wage earner is disabled</p> |
| 1 | Type of Dual Entitlement | AN | 733 | This reflects the type of dual entitlement on the Master Beneficiary |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|---|------|----------|---|
| | | | | <p>Record (MBR).</p> <p>1 Primary/Auxiliary (or Survivor)</p> <p>2 Survivor/Auxiliary</p> <p>3 Insured/Prouty</p> <p>4 Triple entitlement</p> |
| 1 | Other Primary Insurance Amount Factor Code | AN | 734 | <p>This equals the primary insurance factor code values in the other account.</p> <p>A Special Age 72 (Prouty) – transitionally insured (as of 6/82 or later)</p> <p>B Average monthly wage</p> <p>C Special minimum</p> <p>E Death Primary Insurance Amount (PIA) average monthly wage</p> <p>F Death PIA special minimum</p> <p>G AMW life and death PIAs are equal</p> <p>H Life and death special minimum PIAs are equal</p> <p>K Prorated (totalized) PIA</p> <p>L Average indexed monthly earnings</p> <p>M Minimum PIA if greater than Average Indexed Monthly</p> |

| LENGTH | DATA ELEMENT | TYPE | POSITION | DEFINITION |
|--------|---|------|----------|---|
| | | | | <p>Earnings (AIME)</p> <p>N New start guarantee PIA</p> <p>O Old start guarantee PIA</p> <p>S Subsequent Disability Insurance Benefits (DIB) guarantee PIA</p> <p>V Modified old start windfall PIA</p> <p>Z Northern Mariana Islands (NMI) computation (for future use)</p> <p>5 Modified new start windfall PIA</p> <p>7 1990 new start</p> <p>8 1990 old start</p> |
| 1 | Other Primary Insurance Amount Factor Code Two | AN | 735 | This represents the Primary Insurance Factor Code 2 in the other account. (For future use) |
| 4 | Other Eligibility Year | N | 736-739 | <p>This represents the other eligibility year.</p> <p>Format: CCYY</p> |
| 100 | Reserved for future use | AN | 740-839 | Not currently used. |

*There could be 5 occurrences of this information.

There could be 8 occurrences of this information (See "Error! Reference source not found.**" for an explanation of how to use this information.)